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PATENT
ATTORNEY DOCKET NO.: 054253-5001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
)	
John C. SHAW et al.)	Confirmation No.: 5955
)	
Application No.: 10/032,535)	Group Art Unit: 3628
)	
Filed: January 2, 2002)	Examiner: Nga B. Nguyen
)	
For: METHOD AND SYSTEM FOR)	
FACILITATING SECURE)	
TRANSACTIONS)	

Commissioner for Patents
U.S. Patent and Trademark Office
2011 South Clark Place
Customer Window
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO Form 1449. To the best of the undersigned's knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. Accordingly, Applicants do not believe that a fee is due for filing this paper.

The first four U.S. Patents listed on the attached PTO Form 1449 were cited in an International Search Report dated August 28, 2000 in the PCT/US00/12918 application. While the International Search Report dated August 28, 2000 also lists additional references, they are not listed on the attached PTO Form 1449 because they were previously submitted in an

Information Disclosure Statement in this application on January 2, 2002. Copies of the International Search Report dated August 28, 2000, as well as a Written Opinion dated August 8, 2001, and an International Preliminary Examination Report dated August 5, 2002, all from the PCT/US00/12918 application, are enclosed for the Examiner's consideration. Moreover, a copy of an International Preliminary Examination Report dated May 21, 2001, from the PCT/US00/13069 application, is also enclosed for the Examiner's consideration.

Applicants request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached PTO Form 1449.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitute "Prior Art." If it should be determined that any of the listed documents do not constitute "Prior Art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of the document.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over any of the listed documents, should the document be applied against the claims of the present application.

EXCEPT for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required,

including any required extension of time fees, or credit any overpayment to Deposit Account 50-

0310. This paragraph is intended to be a CONSTRUCTIVE PETITION FOR EXTENSION OF TIME in accordance with 37 C.F.R. § 1.136(a)(3).

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

Dated: September 17, 2004

Customer No. 009629

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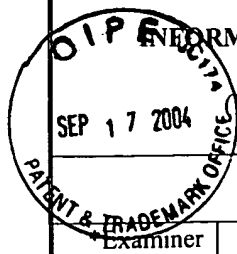
202-739-3000

By:



Paul A. Fournier

Reg. No. 41,023



INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

PTO Form 1449

Attorney Docket No.
054253-5001

Application No.: 10/032,535

Applicant(s): John C. SHAW et al.

PAGE 1 of 1

Filing Date: January 2, 2002

Group Art Unit: 3628

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
	6,012,046	Jan. 4, 2000	Lupien et al.	705	37	Oct. 16, 1997
	6,014,627	Jan. 11, 2000	Togher et al.	705	1	Jun. 18, 1996
	6,029,195	Feb. 22, 2000	Herz	709	219	Dec. 5, 1997
	5,835,087	Nov. 10, 1998	Herz et al.	345	327	Oct. 31, 1995
	6,058,379	May 2, 2000	Odom et al.	705	37	Jul. 11, 1997
	6,408,282	June 18, 2002	Buist	705	37	Apr. 15, 1999

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Sub Class	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Jefferies Launches @Harborside, May 11, 1999. Press Release. www.jefco.com .

Examiner	Date Considered
Examiner:	Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.